

**DISCLOSURE OF FINANCIAL INTEREST
BY A CHARTER SCHOOL TRUSTEE
AS REQUIRED BY G.L. c. 71, § 89(u)**

FILING FOR CALENDAR YEAR: 2011

Date Received: _____

G.L. c. 71, § 89(u) provides that: "Members of boards of trustees of charter schools operating under the provisions of this section shall file a disclosure annually with the state ethics commission, the department, and the city or town clerk wherein such charter school is located. The form of the disclosure shall be prescribed by the ethics commission and shall be signed under penalty of perjury. Such form shall be limited to a statement in which members of the board of trustees shall disclose any financial interest that they or a member of their immediate families, as defined by section one of chapter 268A, have in any charter school located in the commonwealth or in any other state or with a person doing business with a charter school."

"Immediate family" means the member of the board of trustees and his or her spouse and their parents, children, brothers, and sisters. G.L. c. 268A, § 1(e). A "person" doing business with a charter school includes corporations, societies, associations, and partnerships. G.L. c. 4, § 7, cl. 23.

Each member of a board of trustees of a charter school shall file a disclosure **for the preceding calendar year** as follows:

- (a) within 30 days of becoming a member of the board of trustees;
- (b) by September 1 of each year thereafter that the person is a member of the board; and
- (c) by September 1 of the year after the person ceases to be a member of the board, except that no member of a board of trustees shall be required to file a disclosure for the year in which he ceases to be a member of the board if he served fewer than 30 days in such year.

The original disclosure shall be filed with the Charter School Office of the Department of Elementary and Secondary Education. Copies of the disclosure shall be filed with the State Ethics Commission and the clerk for the city or town where the charter school is located.

This disclosure is in addition to the requirements of the conflict of interest law, G.L. c. 268A. A member of a board of trustees must also comply with those requirements, including any additional disclosure requirements.

1. Trustee's name (print): _____

2. Position on board (i.e., chair, treasurer, committee chair, etc.): _____

Beginning Term: _____ Term Expires: _____

3. Name of charter school(s): _____

4. Address of charter school(s): _____

5. Trustee's Home Address: _____

6. Trustee's Business Address: _____

7. Daytime phone: _____

8. Email: _____

9. Is Trustee an employee of any charter school listed in response to Question 3?

YES ___ NO ___

If the answer is yes, please identify the charter school that employs the Trustee: _____

10. Did you and/or an immediate family member have a financial interest in any charter school located in the Commonwealth of Massachusetts during the previous calendar year?

YES ___ NO ___

If the answer is yes, identify each such charter school below.

| Charter School Name | Charter School Address | Name of Trustee and/or Immediate Family Member with the Financial Interest | Describe the Financial Interest |
|---------------------|------------------------|--|---------------------------------|
| | | | |

11. Did you and/or an immediate family member have a financial interest in any charter school located in any other state in the United States during the previous calendar year?

YES ___ NO ___

If the answer is yes, identify each such charter school below.

| Charter School Name | Charter School Address | Name of Trustee and/or Immediate Family Member with the Financial Interest | Describe the Financial Interest |
|---------------------|------------------------|--|---------------------------------|
| | | | |

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12. During the previous calendar year, did you and/or an immediate family member have a financial interest in, or a financial arrangement with, any individual, business, corporation, union, association, firm, partnership, committee, proprietorship, franchise, holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with any charter school?

YES ____ NO ____

If the answer is yes, describe the financial interest or arrangement below.

| Charter School Name | Charter School Address | Name of Trustee and/or Immediate Family Member with the Financial Interest | Describe the Financial Interest |
|---------------------|------------------------|--|---------------------------------|
| | | | |

Signed under the penalties of perjury, for the preceding calendar year, 2011, as specified in G.L. c. 71, § 89.

Signature

Date

File original with:
Department of Elementary and Secondary Education
Charter School Office
75 Pleasant Street
Malden, MA 02148

Also file copy with:
State Ethics Commission
One Ashburton Place, Room 619
Boston, MA 02108

And file copy with:
The clerk for the city or town in which the charter school is located.