



<b>COVER SHEET</b>
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For the 2010-11 school year Massachusetts has been granted the authority to issue waivers of certain federal requirements to districts receiving Title I funds. The five Title I waiver opportunities available specifically for the 2010-11 school year are described below and on the attached pages. Four of the five waivers only apply to districts receiving American Recovery and Reinvestment Act (ARRA) Title I funds.

<b>District Requesting Waiver:</b>
<b>Contact Person:</b>
<b>Address:</b>
<b>Telephone:</b>

<b>Signature of Superintendent or Charter School Leader:</b>
<b>Date:</b>

Indicate below which waivers the district is requesting for school year 2010-11. Complete appropriate waiver forms on following pages. Submit one copy of this cover sheet along with the form for each requested waiver. Submission details are on the individual waiver forms.

Check (✓)	#	Title I Waiver Description	Waiver Applicability:
	1	Offer supplemental educational services (SES) to students in Title I schools identified for improvement – year 1	All Title I-recipient districts
	2	Exclude ARRA Title I funds from 20 percent NCLB school choice & SES reservation	All ARRA Title I-recipient districts
	3	Exclude ARRA Title I funds from SES per-pupil amount	All ARRA Title I-recipient districts
	4	Exclude ARRA Title I funds from 10 percent professional development reservation for districts identified for improvement	All ARRA Title I-recipient districts
	5	Exclude ARRA Title I funds from 10 percent professional development reservation for schools identified for improvement	All ARRA Title I-recipient districts



**FORM 1**

**Waiver Allowing District to Offer Supplemental Educational Services (SES) to Eligible Students in Title I Schools Identified for Improvement – Year 1**

<b>District Requesting Waiver:</b>	
<b>School(s) Waiver is Requested For:</b>	
<b>Proposed Effective Date:</b>	

The above school district requests a waiver allowing it to offer supplemental educational services (SES) to eligible students in Title I schools identified for improvement – year 1, in addition to offering NCLB school choice, in the 2010-11 school year. The waiver would allow the district to count the funds spent providing SES to eligible students in those schools toward its obligation to spend an amount at least equal to 20 percent of its Title I, Part A allocation on SES and NCLB school choice-related transportation.

In requesting this waiver the district attests that it will meet all statutory and regulatory requirements related to SES in the 2010–2011 school year, other than the particular funding requirement being waived.

**Please return Form 1 to:**

Melissa T. Williams  
Title I Grants Specialist  
School Improvement Grant Programs  
Massachusetts Department of Elementary and Secondary Education  
75 Pleasant Street  
Malden, MA 02148  
Phone: 781-338-6230  
Fax: 781-338-3318  
Email: [titlei@doe.mass.edu](mailto:titlei@doe.mass.edu)

Emailed electronic submissions, including electronic signatures, are preferred.



**FORM 2**

**Waiver Allowing District to Exclude American Recovery & Reinvestment Act (ARRA) Title I Funds from 20 percent NCLB School Choice & Supplemental Educational Services (SES) Reservation**

<b>District Requesting Waiver:</b>	
<b>Proposed Effective Date:</b>	

The above school district, which is receiving ARRA Title I funds, requests a waiver allowing it to exclude some or all of the ARRA Title I funds it receives from its obligatory 20 percent reservation of funds for SES and NCLB school choice in the 2010-11 school year.

In requesting this waiver the district attests that it will:

- comply with its statutory and regulatory obligations for the provision of SES and NCLB school choice with respect to its regular Title I, Part A allocation;
- use the funds freed up by the waiver to address needs identified based on data, such as statewide or formative assessment results;
- comply with all of its other Title I, Part A statutory and regulatory obligations, including the obligations in sections 1114 and 1115 to have schoolwide and targeted assistance programs that "use effective methods and instructional strategies that are based on scientifically based research;" and
- submit an application for ARRA Title I funds, or, if necessary, an amendment to its existing grant application, that describes the data on which it relied to identify needs that will be addressed using the funds freed up by the waiver and the evidence that supports the strategies it intends to use to address those needs.

**Please return Form 2 to:**

Melissa T. Williams  
Title I Grants Specialist  
School Improvement Grant Programs  
Massachusetts Department of Elementary and Secondary Education  
75 Pleasant Street  
Malden, MA 02148  
Phone: 781-338-6230  
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Email: [titlei@doe.mass.edu](mailto:titlei@doe.mass.edu)

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**FORM 3**

**Waiver Allowing District to Exclude American Recovery & Reinvestment Act (ARRA) Title I Funds from Supplemental Educational Services (SES) Per-Pupil Amount Calculation**

<b>District Requesting Waiver:</b>	
<b>Proposed Effective Date:</b>	

The above school district, which is receiving ARRA Title I funds, requests a waiver allowing it to exclude some or all of the ARRA Title I funds it receives in calculating the per-pupil amount for SES in the 2010-11 school year.

In requesting this waiver the district attests that it will:

- comply with all of the statutory and regulatory requirements regarding the provision of SES with respect to its regular Title I, Part A allocation; and
- comply with all other Title I, Part A statutory and regulatory requirements (to the extent they are not waived), including the requirements in sections 1114 and 1115 of the ESEA to have schoolwide and targeted assistance programs that "use effective methods and instructional strategies that are based on scientifically based research.

**Please return Form 3 to:**

Melissa T. Williams  
Title I Grants Specialist  
School Improvement Grant Programs  
Massachusetts Department of Elementary and Secondary Education  
75 Pleasant Street  
Malden, MA 02148  
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**FORM 4**

**Waiver Allowing District to Exclude American Recovery & Reinvestment Act (ARRA) Title I Funds from 10 percent Professional Development Reservation for Districts Identified for Improvement**

<b>District Requesting Waiver:</b>	
<b>Proposed Effective Date:</b>	

The above school district, which is receiving ARRA Title I funds, requests a waiver allowing it to exclude some or all of the ARRA Title I funds it receives from its obligatory 10 percent reservation of funds for professional development, based on the district's NCLB accountability status of identified for improvement year 1 or year 2 in the 2010-11 school year.

In requesting this waiver the district attests that it will:

- comply with its statutory and regulatory obligations for the professional development reservation with respect to its regular Title I, Part A allocation;
- use the funds freed up by the waiver to address needs identified based on data, such as statewide or formative assessment results;
- comply with all of its other Title I, Part A statutory and regulatory obligations, including the obligations in sections 1114 and 1115 to have schoolwide and targeted assistance programs that "use effective methods and instructional strategies that are based on scientifically based research;" and
- submit an application for ARRA Title I funds, or, if necessary, an amendment to its existing grant application, that describes the data on which it relied to identify needs that will be addressed using the funds freed up by the waiver and the evidence that supports the strategies it intends to use to address those needs.

**Please return Form 4 to:**

Melissa T. Williams  
Title I Grants Specialist  
School Improvement Grant Programs  
Massachusetts Department of Elementary and Secondary Education  
75 Pleasant Street  
Malden, MA 02148  
Phone: 781-338-6230  
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Email: [titlei@doe.mass.edu](mailto:titlei@doe.mass.edu)

Emailed electronic submissions, including electronic signatures, are preferred.



FORM 5
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**Waiver Allowing District to Exclude American Recovery & Reinvestment Act (ARRA) Title I funds from 10 percent Professional Development Reservation for a Title I School Identified for Improvement**

<b>District Requesting Waiver:</b>	
<b>School(s) Waiver is Requested For:</b>	
<b>Proposed Effective Date:</b>	

The above school district, which is receiving ARRA Title I funds, requests a waiver allowing it to exclude some or all of the ARRA Title I funds it receives from its obligatory 10 percent reservation of funds for professional development for one or more Title I schools having an NCLB accountability status of identified for improvement year 1 or year 2 in the 2010-11 school year. The waiver would allow a Title I school within the district that is identified for improvement to calculate its 10 percent professional development set-aside in accordance with the following formula:

$$\begin{aligned}
 & \text{the school's total FY11 Title I allocation (ARRA and regular FY11 combined)} \\
 & \quad \textit{multiplied by} \\
 & \quad \text{the district's regular FY11 Title I allocation} \\
 & \quad \quad \textit{divided by} \\
 & \quad \text{the district's total FY11 Title I allocation (ARRA and regular FY11 combined)} \\
 & \quad \quad \textit{multiplied by 10 percent.}
 \end{aligned}$$

ESE has posted an Excel workbook to allow districts implementing this waiver to accurately calculate the reservation amount: <http://www.doe.mass.edu/titlei/monitoring/ARRApd.xls>.

In requesting this waiver the district attests that it will:

- ensure that its schools will implement the waiver in accordance with the formula above;
- ensure that all schools in improvement within the district will comply with all statutory and regulatory requirements regarding their professional development obligations with respect to the funds that are not “factored out” in accordance with the formula above;
- ensure that its schools use the funds freed up by the waiver to address needs identified based on data, such as statewide or formative assessment results; and
- along with its schools in improvement, will comply with all other Title I, Part A statutory and regulatory obligations, including the obligations in sections 1114 and 1115 to have schoolwide and targeted assistance programs that “use effective methods and instructional strategies that are based on scientifically based research.



**Form 5 (cont'd)**

**Please return Form 5 to:**

Melissa T. Williams  
Title I Grants Specialist  
School Improvement Grant Programs  
Massachusetts Department of Elementary and Secondary Education  
75 Pleasant Street  
Malden, MA 02148  
Phone: 781-338-6230  
Fax: 781-338-3318  
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