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| **Name of Grant Program:** Massachusetts 21st Century Community Learning Centers - Exemplary Programs Grant | **Fund Code:** 646 |

**Addendum G Risk Factors Rubric**

Per the Uniform Guidance ***(2 CFR Part 200 Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards)*** is the addition of new language that requires States to review the merit and risk associated with a potential grant recipient prior to making an award. In evaluating the risks posed by the grant applicants, the awarding agency may use a risk-based approach and consider any items, such as:

* History of performance
* Fiscal Management
* Meeting of State and Federal Grant Requirements

**Risk Factor Point Deduction:**

|  |  |
| --- | --- |
| **Risk Value** | **Deduction** |
| High deduct | **5 points** |
| Moderate | **3 points** |
| Low | **0 points** |

**Risk Factors**

|  |  |
| --- | --- |
| 1. **High Coordinator Turnover**
 | 1. **Portion of Allocation not spent/returned (FY 18 & 19)**
 |
| High | Every 1 to 2 years | High | Returned > $10,000 in grant |
| Moderate | Every 2-3 Years | Moderate | Returned $5,000- $9,999 in grant funds |
| Low | Greater then 3 years | Low | < $5,000 |
| 1. **Attendance at 21CCLC Coordinator meetings/trainings**
 | 1. **Attendance by Program Staff at professional development (PD)**
 |
| High | Did not consistently attend meetings/ trainings | High | Overall staff ***did not*** attend PD opportunity(ies) |
| Moderate | Attended some meetings/ trainings | Moderate | Staff rarely attended PD opportunity(ies) |
| Low | Consistently Attended meetings/ trainings | Low | Staff consistently attended |
| 1. **Student Attendance- Met minimum requirements**
 | 1. **Submitted Required Forms/Reports by due date**
 |
| High | Consistently ***did not*** meet minimum average attendance hours | High | Consistently late without extension request/reports not completed or incomplete |
| Moderate | Did not meet minimum average attendance hours for 2 years | Moderate | Occasionally Late without extension request (for 2 successive years)/reports insufficient |
| Low | Consistently met minimum average attendance hours | Low | Consistently submitted by due dates/reports complete |
| 1. **Submitted Required Data**
 | 1. **Met Required Sample Sizes**
 |
| High | Data consistently late | High | Consistently ***did not*** meet minimum sample size |
| Moderate | Data occasionally late (2 years) | Moderate | Did not meet minimum sample size for 2 years |
| Low | Consistently submitted on time | Low | Consistently met minimum average sample size |
| 1. **Served a % of students in Subgroups > the school pop**
 | 1. **Program Operations**
 |
| High | Served less then 50% of total population of sub groups | High | Overall program did not meet required hours |
| Moderate | Served less then 30% | Moderate | Program did not meet required hours for 2years |
| Low | Served >40% all population of sub groups | Low | Program met required hours |
| 1. **Program/Fiscal Monitoring**
 |  |
| High | Programmatic and/or fiscal findings requiring corrective action. |  |  |
| Moderate | Some Programmatic and/or fiscal issues requiring a formal plan |  |  |
| Low | No programmatic or fiscal findings. |  |  |