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| **Name of Grant Program:** Massachusetts 21st Century Community Learning Centers - Exemplary Programs Grant | **Fund Code:** 646 |

**Addendum G Risk Factors Rubric**

Per the Uniform Guidance ***(2 CFR Part 200 Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards)*** is the addition of new language that requires States to review the merit and risk associated with a potential grant recipient prior to making an award. In evaluating the risks posed by the grant applicants, the awarding agency may use a risk-based approach and consider any items, such as:

* History of performance
* Fiscal Management
* Meeting of State and Federal Grant Requirements

**Risk Factor Point Deduction:**

|  |  |
| --- | --- |
| **Risk Value** | **Deduction** |
| High deduct | **5 points** |
| Moderate | **3 points** |
| Low | **0 points** |

**Risk Factors**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 1. **High Coordinator Turnover** | | | | 1. **Portion of Allocation not spent/returned (FY 18 & 19)** | |
| High | Every 1 to 2 years | | | High | Returned > $10,000 in grant |
| Moderate | Every 2-3 Years | | | Moderate | Returned $5,000- $9,999 in grant funds |
| Low | Greater then 3 years | | | Low | < $5,000 |
| 1. **Attendance at 21CCLC Coordinator meetings/trainings** | | | | 1. **Attendance by Program Staff at professional development (PD)** | |
| High | Did not consistently attend meetings/ trainings | | | High | Overall staff ***did not*** attend PD opportunity(ies) |
| Moderate | Attended some meetings/ trainings | | | Moderate | Staff rarely attended PD opportunity(ies) |
| Low | Consistently Attended meetings/ trainings | | | Low | Staff consistently attended |
| 1. **Student Attendance- Met minimum requirements** | | | | 1. **Submitted Required Forms/Reports by due date** | |
| High | Consistently ***did not*** meet minimum average attendance hours | | | High | Consistently late without extension request/reports not completed or incomplete |
| Moderate | Did not meet minimum average attendance hours for 2 years | | | Moderate | Occasionally Late without extension request (for 2 successive years)/reports insufficient |
| Low | Consistently met minimum average attendance hours | | | Low | Consistently submitted by due dates/reports complete |
| 1. **Submitted Required Data** | | | 1. **Met Required Sample Sizes** | | |
| High | | Data consistently late | High | | Consistently ***did not*** meet minimum sample size |
| Moderate | | Data occasionally late (2 years) | Moderate | | Did not meet minimum sample size for 2 years |
| Low | | Consistently submitted on time | Low | | Consistently met minimum average sample size |
| 1. **Served a % of students in Subgroups > the school pop** | | | 1. **Program Operations** | | |
| High | | Served less then 50% of total population of sub groups | High | | Overall program did not meet required hours |
| Moderate | | Served less then 30% | Moderate | | Program did not meet required hours for 2years |
| Low | | Served >40% all population of sub groups | Low | | Program met required hours |
| 1. **Program/Fiscal Monitoring** | | |  | | |
| High | | Programmatic and/or fiscal findings requiring corrective action. |  | |  |
| Moderate | | Some Programmatic and/or fiscal issues requiring a formal plan |  | |  |
| Low | | No programmatic or fiscal findings. |  | |  |