

Federal Award Notification Form

Date Received: 7/18/2017 **State Fiscal Award Year:** FY17
Appropriation #: 7043-1006 **PR / Award # :** S377A160022-16A
Award Amount: \$6,874,514 **Appropriation Name:** School Improvement Grants (SIG)
 Full or Partial Award: FULL

FY2017

Type of Notification: (Please check one & explain if required)

1) Existing / Continuing Award:

- Supplement (Explain)
- Non-Cash Change (Explain)
- No Cost Extension (Explain)

2) New Award:

- 3) Amendment:**
- 4) Other:** X (Explain) **(See below for additional documentation)**
- (5) ARRA Award**

Explanation: REVISION

Award Periods:

Budget Period: 07/01/2016-09/30/2017
 Performance Period: 07/01/2016-09/30/2017
 Tydings Applicable: Yes
 (Yes or No)

DOE Contacts:

Fiscal Administrator: Russell Johnston
 Fiscal Contact: Irene Cala
 Budget Analyst: Joanne Puopolo

Set Aside Breakdown:

Provide **both** the Set Aside amounts: 1) required by the US ESE set aside language and 2) elected by MA ESE. These amounts may be the same. The MA ESE elected amount must minimally meet the US DOE earmarking requirements. Total must agree with Award Amount. Any MA ESE election moving administrative funding into Distribution will be reviewed / approved by the Budget Office / CFO.

Federal Program

	<u>Requirement</u>	<u>Adjustment</u>	<u>Revised Allocation</u>	<u>Total</u>
Distribution:	\$6,276,318.45	\$254,469.85	\$6,530,788.30	95%
Administration:	\$330,332.55	\$13,393.15	\$343,725.70	5%
Technical Ass'tnc:				
Other Fed 1:				
Other Fed 2:				
Total:	\$6,606,651.00	\$267,863.00	\$6,874,514.00	100%

Federal Required Fiscal Reports:

Report Type (Form #)
 Interim / Final / Both
 Due Date(s)

Indirect Cost:

to be completed by Budget Office
 CFDA # 84.377A

Restricted / Unrestricted
 Applicable rate:

5 Copies of Cover Form to:

- Budget Office
- Business Office
- Grants Manangement
- Budget Analyst (2 copies)

Required Attachments:

- 5 copies of the award letter
- 5 copies of earmarking language

7043-1006

ARRA Awards require additional approval from the ANF RRO Office. Please read the ARRA Proposal Process memo located in:
<H:\Budget Office\FY2011\BFY11 ESE Internal Spending Plans\Administrator + Fiscal Contact Toolbox\ARRA required documents>

1. Complete Critical ARRA Objectives document if no staff costs are involved.
2. Complete ARRA Proposd.Hiring Template if staff will be hired or paid for by the ARRA award.
3. ARRA awards are subject to an additional 4/10 of 1% of special chargeback by ANF in addition to the regular indirect costs.



**US Department of Education
Washington, D.C. 20202**

GRANT AWARD NOTIFICATION

7043-1006
Business Office
6/21/17
S377A160022 - 16A

FY2017

<p>1 RECIPIENT NAME</p> <p>Massachusetts State Department of Education 350 Mail Street Malden, MA 02148</p>	<p>2 AWARD INFORMATION</p> <p>PR/AWARD NUMBER S377A160022 - 16A ACTION NUMBER 2 ACTION TYPE Revision AWARD TYPE Formula</p>																				
<p>3 PROJECT STAFF</p> <p>RECIPIENT STATE DIRECTOR Amanda Trainor (781) 338-3521 atrainor@doe.mass.edu</p> <p>EDUCATION PROGRAM CONTACT Chuenec K Boston (202) 260-7008 chuenec.boston@ed.gov</p> <p>EDUCATION PAYMENT HOTLINE G5 PAYEE 888-336-8930 HELPDESK edcaps.user@ed.gov</p>	<p>4 PROJECT DESCRIPTION</p> <p>84.377A School Improvement Grants</p>																				
<p>5 KEY PERSONNEL</p> <p>N/A</p>																					
<p>6 AWARD PERIODS</p> <p>BUDGET PERIOD 07/01/2016 - 09/30/2017 FEDERAL FUNDING PERIOD 07/01/2016 - 09/30/2017</p> <p>FUTURE BUDGET PERIODS N/A</p>																					
<p>7 AUTHORIZED FUNDING</p> <p>CURRENT AWARD AMOUNT \$267,863.00 PREVIOUS CUMULATIVE AMOUNT \$6,606,651.00 CUMULATIVE AMOUNT \$6,874,514.00</p>																					
<p>8 ADMINISTRATIVE INFORMATION</p> <p>DUNS/SSN 799538178 REGULATIONS CFR PART Not Available EDGAR AS APPLICABLE 2 CFR AS APPLICABLE ATTACHMENTS N/A</p>																					
<p>9 LEGISLATIVE AND FISCAL DATA</p> <p>AUTHORITY: PL 107-110 I ELEMENTARY AND SECONDARY EDUCATION ACT, AS AMENDED PROGRAM TITLE: SCHOOL IMPROVEMENT GRANTS CFDA/SUBPROGRAM NO: 84.377A</p> <table border="1"> <thead> <tr> <th>FUND CODE</th> <th>FUNDING YEAR</th> <th>AWARD YEAR</th> <th>ORG. CODE</th> <th>CATEGORY</th> <th>LIMITATION</th> <th>ACTIVITY</th> <th>CFDA</th> <th>OBJECT CLASS</th> <th>AMOUNT</th> </tr> </thead> <tbody> <tr> <td>0900M</td> <td>2016</td> <td>2017</td> <td>ES000000</td> <td>B</td> <td>P94</td> <td>000</td> <td>377</td> <td>4101A</td> <td>\$267,863.00</td> </tr> </tbody> </table>		FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0900M	2016	2017	ES000000	B	P94	000	377	4101A	\$267,863.00
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**US Department of Education
Washington, D.C. 20202**
GRANT AWARD NOTIFICATION

S377A160022 - 16A

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PR/AWARD NUMBER: S377A160022 - 16A
RECIPIENT NAME: Massachusetts State Department of Education

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.

Jason Botel
Acting Assistant Secretary

06/21/2017

AUTHORIZING OFFICIAL

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

- 1. RECIPIENT NAME** - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.
- 2. AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.
- 3. PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - *RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 5.* KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 6. AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - *FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- 7. AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - *THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - *BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - *PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.
- 8. ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER** - The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME** - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE** - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE** - The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.